# **Resilient Machine Learning in Adversarial Environments**

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# **Problem space**

- Space: Adversarial Machine Learning (study security of machine learning algorithms under various attacks)
- Problem: Need to test resilience of ML and AI algorithms in critical applications (cyber security, connected cars) and design robust ML methods
- Solution: New optimization-based testing time and trainingtime attacks against ML classifiers; resilient linear models
- **Results**: Most ML algorithms are vulnerable; resilient ML models are needed
- TRL: High for attacks; low for defenses

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# AI in Critical Applications

- AI has potential in critical applications
  - Cyber security: intelligent defense algorithms
  - Connected cars: assist and warn drivers of safety issues
  - Healthcare: assist doctors in diagnosis and treatment
- ...But AI could become a target of attack
  - Traditional ML and deep Learning are not resilient to adversarial attacks
  - Consider entire AI lifecycle from training to testing
  - Many critical real-world applications are vulnerable
  - New adversarially-resilient algorithms are needed!





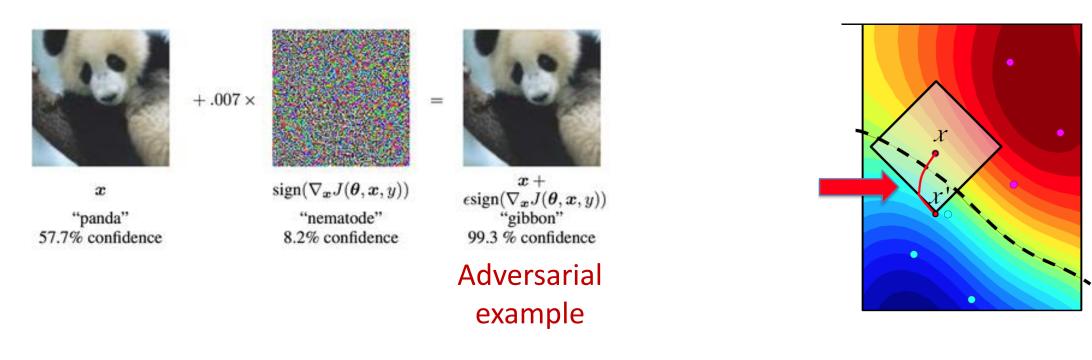
### Adversarial Machine Learning: Taxonomy

#### Attacker's Objective

	<b>Targeted</b> Target small set of points	Availability Target majority of points	<b>Privacy</b> Learn sensitive information
Training	Targeted Poisoning Backdoor Trojan Attacks	Poisoning Availability Model Poisoning	-
Testing	Evasion Attacks Adversarial Examples	-	Membership Inference Model Extraction

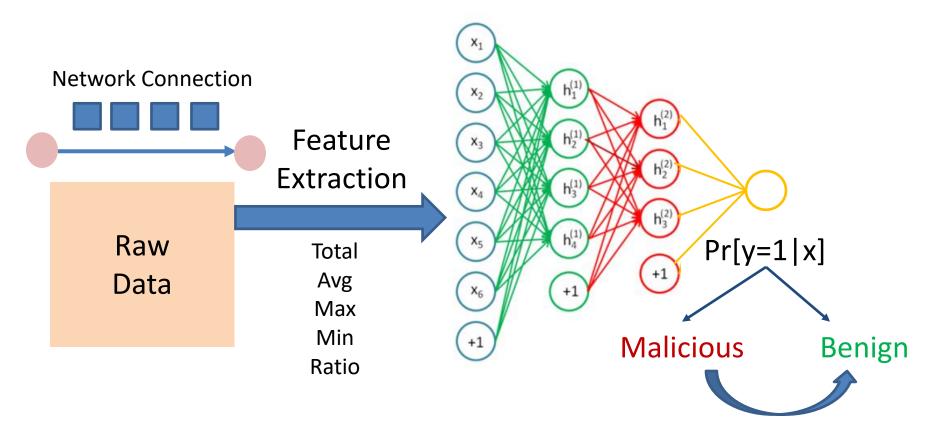
Learning stage

### **Evasion Attacks**



- Evasion attack: attack against ML at testing time
- Implications
  - Small (imperceptible) modification at testing time changes the classification
  - Attacks are easy to mount and hard to detect

# **Evasion Attacks for Security**

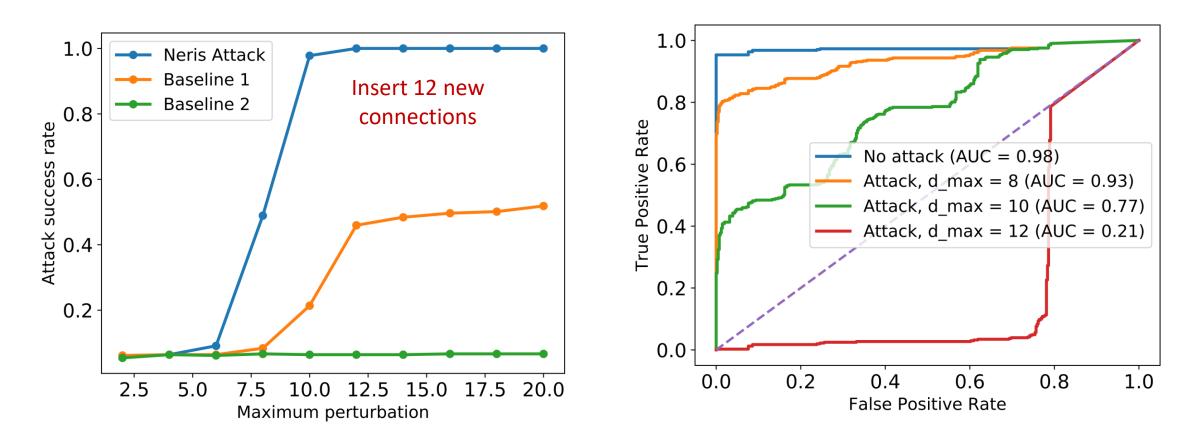


- Most evasion attacks done in the context of image classification
- Example: Malicious connection classifier (features aggregated by port)
- Challenge: Attacks designed for continuous domains do not result in feasible adversarial examples in discrete domains

# Adversarial Framework in Discrete Domains

- General optimization framework for adversarial attacks in discrete domains
  - Respect *mathematical dependencies* (e.g., aggregated feature statistics)
  - Respect *physical-world constraints* (e.g., min and max packet size)
- Threat model
  - Insert realistic network connections (e.g., Bro conn events)
- Considered two cyber security applications
  - Public dataset for malicious network traffic classification
  - Enterprise dataset for malicious domain classification
    - Evasion attacks can be easily mounted in discrete domains
    - General framework applicable to multiple applications

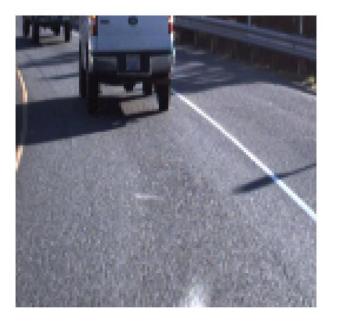
# How Effective are Evasion Attacks in Security?



- Malicious connection classifier can be easily attacked by inserting a small number of connections (12 new Bro logs)
- Significant degradation of ML classifiers under attack

# Adversarial Example in Connected Cars



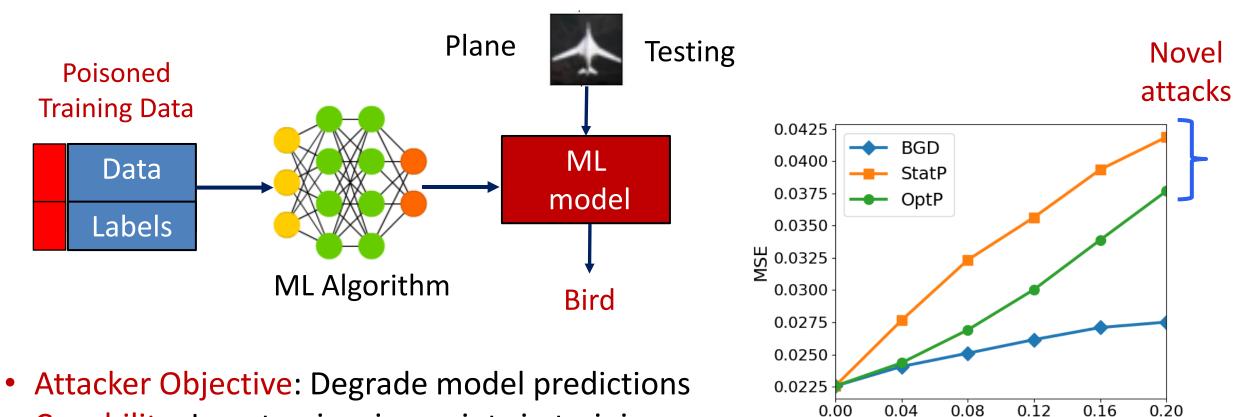


Original Image; steering angle = -4.25

#### Adversarial Image; steering angle = -2.25

- Convolutional Neural Networks used for steering angle prediction can be easily attacked
- Considered both classification and regression prediction tasks

# Poisoning Availability Attacks

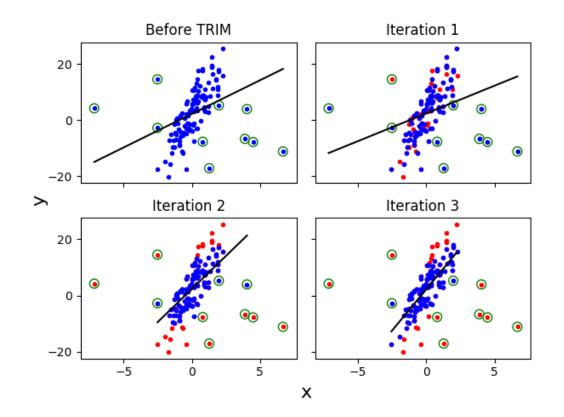


- Capability: Insert poisoning points in training
  - Linear regression can be easily poisoned at training time
  - Can train a resilient regression model by using our defense

**Poisoning Rate** 

# **Resilient Linear Regression**

- Given dataset on n points and  $\alpha n$ attack points, find best model on nof  $(1 + \alpha)n$  points
- If *w*, *b* are known, find points with smallest residual
- But *w*, *b* and true data distribution are unknown!



- TRIM: robust optimization defense
- Solve a trimmed optimization problem using a subset of points
- Provable guarantees of worst-case attack impact

# Network and Distributed System Security (NDS2) Lab

- Machine learning and AI for cybersecurity
  - Threat detection
    - [Yen et al. 13], [Yen et al. 14], [Oprea et al. 15], [Li and Oprea 16], [Buyukkayhan et al. 17], [Oprea et al. 18], [Duan et al. 18], [Ongun et al. 19]
  - Collaborative enterprise defense: *Talha Ongun* (PhD student), *Oliver Spohngellert* (MS student), *Simona Boboila* (Research Scientist)
  - IoT security: Talha Ongun
  - AI for cyber security games: *Lisa Oakley* (RS), *Giorgio Severi* (PhD student)
- Adversarial machine learning and AI
  - Poisoning attacks and defenses [Liu et al. 17], [Jagielski et al. 18], [Demontis et al. 19]: Matthew Jagielski (PhD student); Niklas Pousette Harger; Ewen Wang (undergraduate)
  - Evasion attacks for cyber security and connected cars [Chernikova et al. 19], [Chernikova and Oprea 19]: : Alesia Chernikova (PhD student)
  - Privacy and fairness [Jagielski et al. 19]: Matthew Jagielski; Alesia Chernikova

# Acknowledgements

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#### Northeastern University Cybersecurity & Privacy Institute

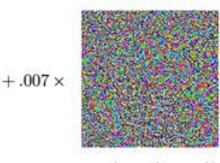


# **Backup Slides**

### **Evasion Attacks**



x "panda" 57.7% confidence

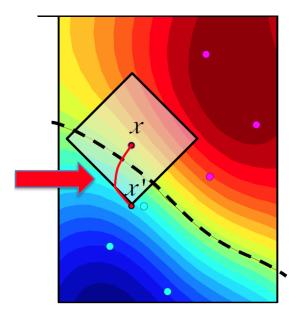


sign $(\nabla_x J(\theta, x, y))$ "nematode" 8.2% confidence



 $x + \epsilon \operatorname{sign}(\nabla_x J(\theta, x, y))$ "gibbon" 99.3 % confidence

Adversarial example



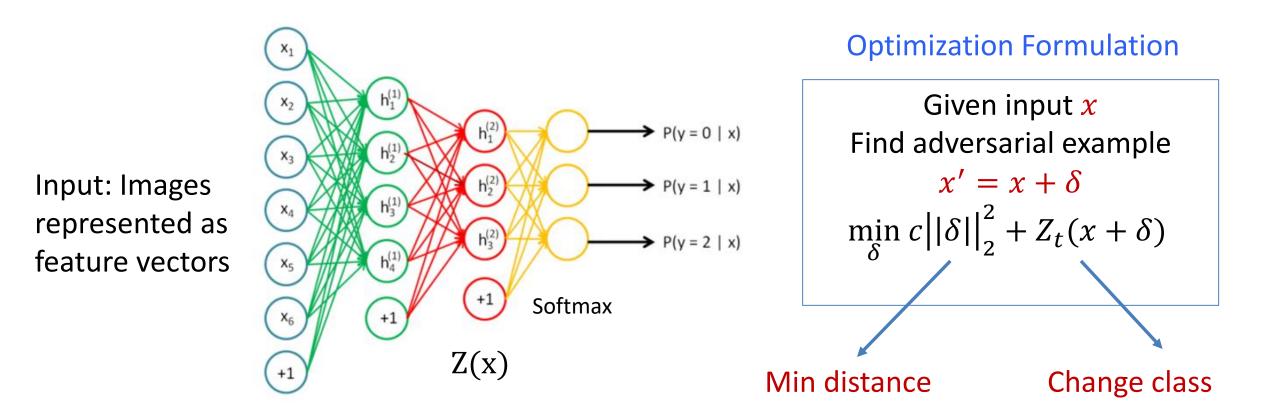
• [Szegedy et al. 13] Intriguing properties of neural networks

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- [Biggio et al. 13] Evasion Attacks against Machine Learning at Test Time
- [Goodfellow et al. 14] Explaining and Harnessing Adversarial Examples
- [Carlini, Wagner 17] Towards Evaluating the Robustness of Neural Networks
- [Madry et al. 17] Towards Deep Learning Models Resistant to Adversarial Attacks
- [Kannan et al. 18] Adversarial Logit Pairing

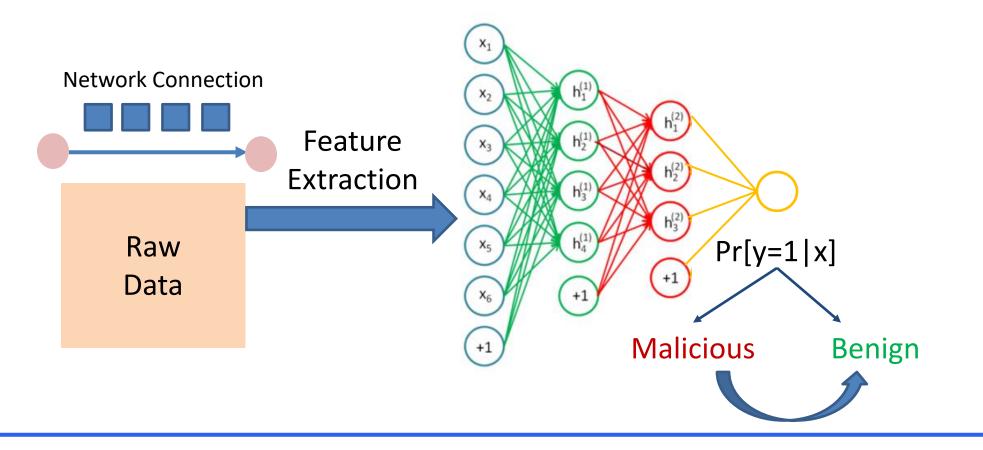
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# **Evasion Attacks For Neural Networks**



- Existing attacks: [Carlini and Wagner 2017], [Biggio et al. 2013], [Madry et al. 2018]
- Challenge: Attacks designed for continuous domains do not result in feasible adversarial examples in cyber security (feature extraction layer)

# **Evasion Attacks for Security**



#### Challenge

- Attacks designed for continuous domains do not result in feasible adversarial examples Solution
- New iterative attack algorithm taking into account feature constraints

# **Adversarial Framework for Discrete Domains**

Input: adversarial objective A(x)

original point  $x_0$ ; target class t

learning rate  $\alpha$ ; D dependent feature set

Repeat until stopping condition:

 $i \leftarrow \operatorname{argmax} \nabla_x A(x)$  // Feature of max gradient if  $i \in D$ 

 $x_r \leftarrow \text{Find}_\text{Representative}(i) // \text{Find family representative}$   $x_r \leftarrow \Pi(x_r - \alpha \nabla_{x_r} A(x)) // \text{Gradient update of representative feature}$ Update\_Dependecies(i) // Update all dependent features else

 $x_i \leftarrow \Pi(x_i - \alpha \nabla_{x_i} A(x))$  // Gradient update for feature *i* if C(x) = t return x // Found adversarial example

### **Evasion Attack for Malicious Connection Classifier**

Raw Bro	Time	Src IP	Dst IP	Prot.	Port	Sent bytes	Recv. bytes	Sent packets	Recv. packets	Duration
logs	9:00:00	147.32.84.59	77.75.72.57	ТСР	80	1065	5817	10	11	5.37
	9:00:05	147.32.84.59	87.240.134.159	ТСР	80	950	340	7	5	25.25
	9:00:12	147.32.84.59	77.75.77.9	ТСР	80	1256	422	5	5	0.0048
	9:00:20	147.32.84.165	209.85.148.147	ТСР	443	112404	0	87	0	432

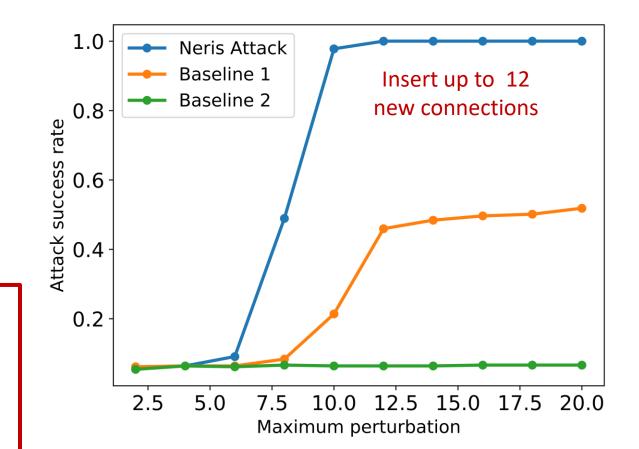
- Family: all features defined per port
- Attack: Insert TCP or UDP connections on the determined port
- Representative features: number of packets in a connection
- Dependent features: sent bytes, duration
  - Respect physical constraints on network

#### 20

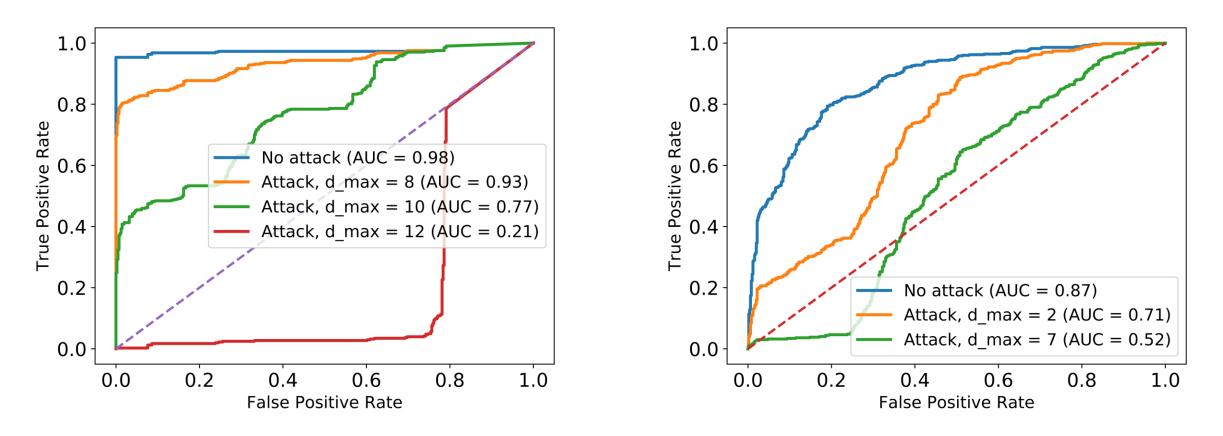
# How Effective are Evasion Attacks in Security?

- Dataset: CTU-13, Neris botnet
  - 194K benign, 3869 malicious
- Features: 756 on 17 ports
- Model: Feed-forward neural network (3 layers), F1: 0.96

- Baseline 1
  - Features selected at random
- Baseline 2
  - Features and values selected at random



## How Effective are Evasion Attacks in Security?



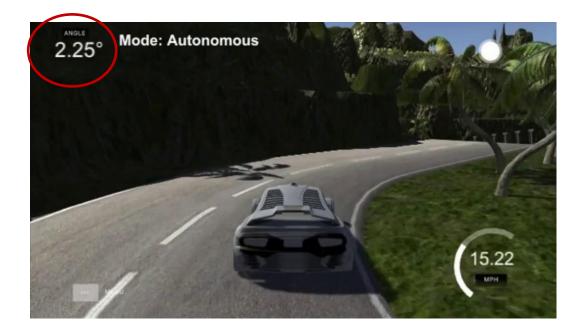
Malicious connection classifier

Malicious domain classifier

Significant degradation under attack

# **Evasion Attacks in Connected Cars**

- Udacity challenge 2: Predict the steering angle from camera images, 2014
- Actions
  - Turn left (negative steering angle below threshold -T)
  - Turn right (positive steering angle above threshold T)
  - Straight (steering angle in [-T,T])
- The full dataset has 33,608 images and steering angle values (70GB of data)



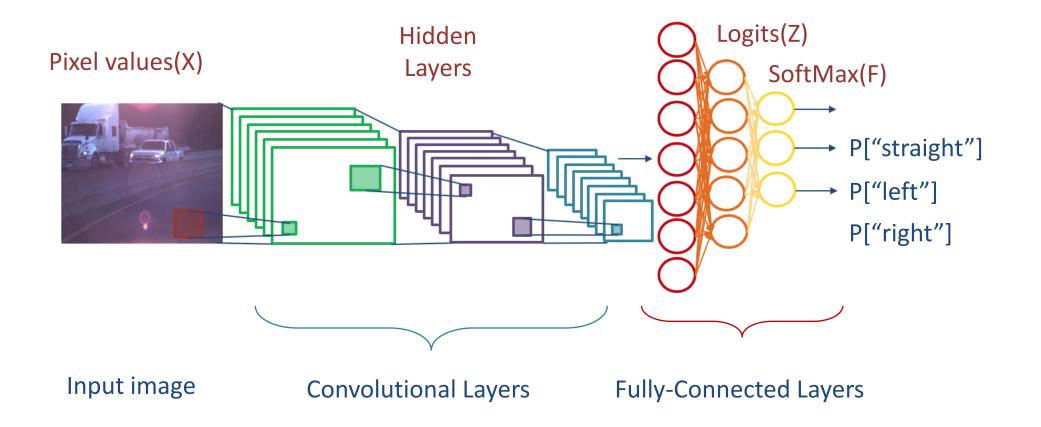
#### Predict direction: Straight, Left, Right Predict steering angle

A. Chernikova, A. Oprea, C. Nita-Rotaru, and B. Kim.

Are Self-Driving Cars Secure? Evasion Attacks against Deep Neural Networks for Self-Driving Cars.

In IEEE SafeThings 2019. https://arxiv.org/abs/1904.07370

# **CNN for Direction Prediction**

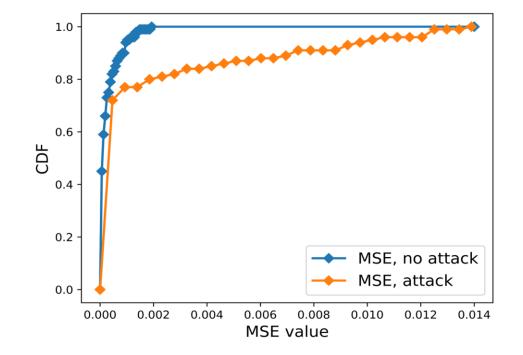


• Two CNN architectures: 25 million and 467 million parameters

# **Evasion Attack against Regression**

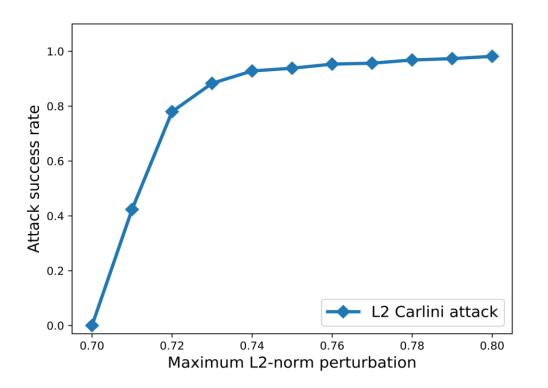
- First evasion attack for CNNs for regression task (predict steering angle)
- New objective function
  - Minimize adversarial perturbation
  - Maximize the square residuals (difference between the predicted and true response)

$$\min_{\delta} c \left\| \delta \right\|_{2}^{2} - g(x + \delta, y)$$
  
such that  $x + \delta \in [0, 1]^{d}$   
 $g(x + \delta, y) = [F(x + \delta) - y]^{2}$ 

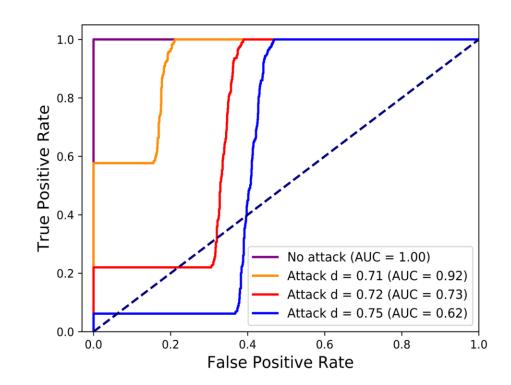


- 10% of adversarial images have MSE 20 times higher than legitimate images
- The maximum ratio of adversarial to legitimate MSE reaches 69

# How Effective are Evasion Attacks in Connected Cars?



By changing only minimally the images (0.8 L2 perturbation), the attack has 100% accuracy!



Significant degradation of accuracy under attack from AUC = 1 to AUC = 0.62

# Training-Time Attacks

• ML is trained by crowdsourcing data in many applications

- Social networks
- News articles
- Tweets



- Navigation systems
- Face recognition
- Mobile sensors

• Cannot fully trust training data!



# **Optimization Formulation**

Given a training set D find a set of poisoning data points  $D_p$ 

that maximizes the adversary objective A on validation set  $D_{val}$ 

where corrupted model  $\theta_p$  is learned by minimizing the loss L on  $D \cup D_p$ 

$$\operatorname{argmax}_{D_p} A(D_{val}, \boldsymbol{\theta}_p) \text{ s. t.} \\ \boldsymbol{\theta}_p \in \operatorname{argmin}_{\boldsymbol{\theta}} L(D \cup D_p, \boldsymbol{\theta}_p)$$

Bilevel Optimization NP-Hard!

#### First white-box attack for regression [Jagielski et al. 18]

- Determine optimal poisoning point  $(x_c, y_c)$
- Optimize by both  $\boldsymbol{x}_c$  and  $\boldsymbol{y}_c$

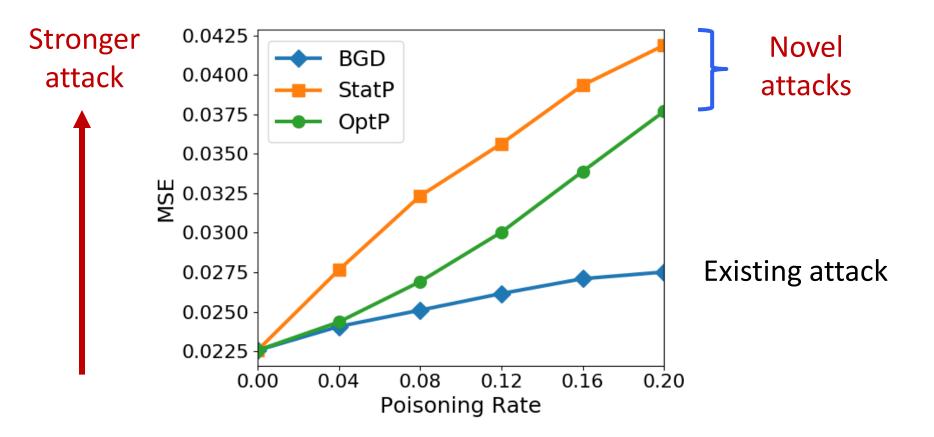
# Is It Really a Threat?

- Case study on healthcare dataset (predict Warfarin medicine dosage)
- At 20% poisoning rate
  - Modifies 75% of patients' dosages by 93.49% for LASSO
  - Modifies 10% of patients' dosages by a factor of 4.59 for Ridge
- At 8% poisoning rate
  - Modifies 50% of the patients' dosages by 75.06%

Quantile	Initial Dosage	Ridge Difference	LASSO Difference
0.1	15.5 mg/wk	31.54%	37.20%
0.25	21 mg/wk	87.50%	93.49%
0.5	30 mg/wk	150.99%	139.31%
0.75	41.53 mg/wk	274.18%	224.08%
0.9	52.5 mg/wk	459.63%	358.89%

# **Poisoning Regression**

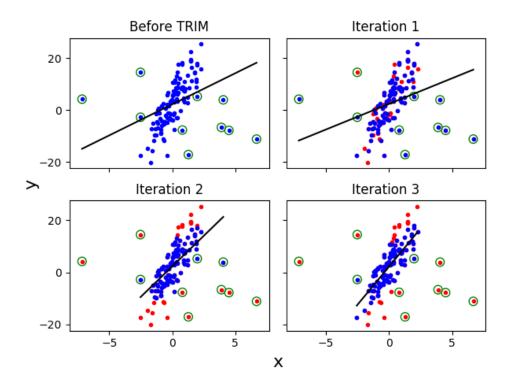
• Improve existing attacks by a factor of 6.83



Predict loan rate with ridge regression (L2 regularization)

# **Resilient Linear Regression**

- Given dataset on n points and  $\alpha n$ attack points, find best model on nof  $(1 + \alpha)n$  points
- If *w*, *b* are known, find points with smallest residual
- But *w*, *b* and true data distribution are unknown!



TRIM: alternately estimate model and find low residual points  

$$\underset{w,b,I}{\operatorname{argmin}} L(w,b,I) = \frac{1}{|I|} \sum_{i \in I} (f(\boldsymbol{x}_i) - y_i)^2 + \lambda \Omega(\boldsymbol{w})$$

$$N = (1 + \alpha)n, \quad I \subset [1, ..., N], \quad |I| = n$$

# References

- Evasion attacks
  - A. Chernikova, A. Oprea, C. Nita-Rotaru, and B. Kim. Are Self-Driving Cars Secure? Evasion Attacks against Deep Neural Networks for Self-Driving Cars. In IEEE SafeThings 2019.
  - A. Chernikova and A. Oprea. Adversarial Examples for Deep-Learning Cyber Security Analytics. <u>http://arxiv.org/abs/1909.10480</u>, 2019.
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  - C. Liu, B. Li, Y. Vorobeychik, and A. Oprea. *Robust Linear Regression Against Training Data Poisoning*. In AISEC 2017
  - M. Jagielski, A. Oprea, B. Biggio, C. Liu, C. Nita-Rotaru, and B. Li. *Manipulating Machine Learning: Poisoning Attacks and Countermeasures for Regression Learning*. In IEEE S&P 2018
- Transferability of attacks
  - A. Demontis, M. Melis, M. Pintor, M. Jagielski, B. Biggio, A. Oprea, C. Nita-Rotaru, and F. Roli. Why Do Adversarial Attacks Transfer? Explaining Transferability of Evasion and Poisoning Attacks. In USENIX Security Symposium, 2019